

Contents

About the Authors

xvii

1	Introduction	1
1.1	Background to Convergence	1
1.2	Third Generation (3G)	2
1.3	Why UMTS?	3
1.4	IMT2000 Process	4
1.5	Organization of the Book	8
2	Principles of Communications	11
2.1	Circuit- and Packet Switched Data	11
2.1.1	Datagram Approach	12
2.1.2	Virtual Circuits	12
2.2	Analogue and Digital Communications	14
2.2.1	Representing Analogue Signals in Digital Format	14
2.3	Voice and Video Transmission	15
2.3.1	Sampling	15
2.3.2	Coding and CODECs	16
2.3.3	Pulse Code Modulation	19
2.3.4	Compression	19
2.3.5	Comfort Noise Generation and Activity Detection	20
2.3.6	Packetization Delay	20
2.3.7	Erlang and Network Capacity	21
2.3.8	Voice over IP (VoIP)	21
2.3.9	Quality of Service	22

2.4	Multiple Access	23
2.5	Frequency Division Multiple Access (FDMA)	23
2.6	Time Division Multiple Access (TDMA)	24
2.7	Code Division Multiple Access (CDMA)	26
2.7.1	DS-CDMA Signal Spreading	27
2.7.2	Orthogonal Codes and Signal Separation	31
2.7.3	PN Sequences	33
2.8	Multipath Propagation and Diversity	35
2.8.1	Soft Handover	38
2.8.2	Fading and Power Control	38
2.9	Protecting the Data	39
2.9.1	Convolution Coding	40
2.9.2	Interleaving	41
2.10	Summary	42
3	GSM Fundamentals	43
3.1	General Architecture	44
3.2	Mobility Management	49
3.3	GSM Air Interface	52
3.3.1	GSM Multiframes	55
3.3.2	Traffic Channel Multiframe	56
3.3.3	Control Channel Multiframe	58
3.3.4	Frames, Multiframes, Superframes and Hyperframes	60
3.4	Timing Advance	63
3.5	Initial Connection Procedure	63
3.6	Protocols and Signalling	65
3.7	GSM and Signalling System 7	68
3.7.1	Signalling Points	69
3.7.2	Protocol Stack for SS7 Signalling over MTP	70
3.7.3	Address Translation	73
3.7.4	Example of Routing of a Call to a Mobile Subscriber	73
3.7.5	Example of Routing of an SMS Message to a Mobile Subscriber	75
3.8	Summary	76
4	General Packet Radio Service	79
4.1	Introduction to GPRS	79
4.2	General Architecture	81
4.3	GPRS Network Elements	82
4.3.1	Serving GPRS Support Node (SGSN)	82
4.3.2	Gateway GPRS Support Node (GGSN)	82
4.3.3	Charging Gateway (CG)	83
4.3.4	Lawful Interception Gateway (LIG)	83
4.3.5	Domain Name System (DNS)	83
4.3.6	Border Gateway (BG)	83

4.4	Network Interfaces	84
4.4.1	Network Operation Mode	86
4.5	GPRS Air Interface	86
4.5.1	Resource Sharing	87
4.5.2	Air Interface Coding Schemes	89
4.5.3	Classes of Devices	90
4.5.4	Advantages of GPRS Over the Air	92
4.6	GPRS Protocols	93
4.6.1	Physical and Logical Channels	95
4.6.2	Subnetwork-Dependent Convergence Protocol (SNDCP)	98
4.6.3	Logical Link Control (LLC)	100
4.6.4	Radio Link Control/Media Access Control (RLC/MAC)	106
4.6.5	GPRS Radio Protocol	117
4.6.6	Layer 1	118
4.7	Gb Interface Protocols	119
4.7.1	Layer 1 Bis	119
4.7.2	Frame Relay	119
4.7.3	Base Station System GPRS Protocol (BSSGP)	120
4.8	GPRS Tunnelling Protocol (GTP)	126
4.9	Connection Management	128
4.9.1	Mobility Management	129
4.9.2	Session Management	135
4.9.3	Transparent and Non-transparent Mode	142
4.9.4	Access Point Name (APN)	142
4.9.5	Charging and Billing	145
4.9.6	QoS over the GPRS Network	146
4.10	Connection scenarios	150
4.11	Other Cellular High-Speed Data Technologies	152
4.11.1	High-Speed Circuit-Switched Data (HSCSD)	152
4.11.2	Enhanced Data Rates for Global Evolution (EDGE)	152
4.11.3	Modification to RLC/MAC	154
4.11.4	Channel Coding for PDTCH	158
4.11.5	Link Adaptation and Incremental Redundancy	159
4.11.6	Compact EDGE	161
4.11.7	GSM/EDGE Radio Access Network (GERAN)	162
4.12	Summary	165
5	IP Applications for GPRS/UMTS	167
5.1	Introduction	167
5.2	IP Protocol Suite Overview	168
5.2.1	IP Protocol	169
5.2.2	IP Addressing and Routing	170
5.2.3	Address Depletion and CIDR	172
5.2.4	Transmission Control Protocol (TCP)	174
5.2.5	User Datagram Protocol (UDP)	176

5.2.6	Domain Name Service (DNS)	177
5.2.7	Address Resolution Protocol (ARP)	178
5.2.8	IP Summary	180
5.3	IP Routing	180
5.3.1	Dynamic Routing Algorithms	182
5.3.2	Distance Vector Routing Protocol	182
5.3.3	Link State Protocols	186
5.3.4	Other Routing Protocols	194
5.3.5	Exterior Routing Protocols	195
5.4	TCP and Congestion Control	197
5.4.1	Slow Start/Congestion Avoidance	197
5.4.2	Fast Retransmit/Fast Recovery (RENO TCP)	198
5.4.3	Drop Tail Buffer Management	199
5.4.4	Random Early Detection (RED)	199
5.5	TCP Optimization for the Air	200
5.6	IP for GPRS and UMTS R99	201
5.6.1	Reliability and Virtual Router Redundancy Protocol (VRRP)	203
5.6.2	VRRP Virtual MAC Addresses	206
5.6.3	IP Header Compression	206
5.6.4	IP Address Depletion and GPRS	210
5.6.5	Dynamic Host Configuration Protocol (DHCP)	210
5.6.6	Network Address Translation (NAT)	211
5.7	IP-based QoS for UMTS Networks	213
5.7.1	QoS Negotiation in UMTS	213
5.7.2	GPRS QoS Parameters	214
5.8	QoS for the GPRS Core Network	215
5.8.1	Differentiated Services (DiffServ)	217
5.8.2	Expedited Forwarding	218
5.8.3	QoS and the Integrated Services (IntServ)	220
5.8.4	Resource Reservation Protocol (RSVP)	221
5.8.5	RSVP for GPRS	224
5.8.6	IntServ versus DiffServ	225
5.9	IP Security	226
5.9.1	Transport Layer Security (TLS) and WAP Security (WTLS)	226
5.9.2	Virtual Private Networks and IP Security (IPSec)	230
5.9.3	Internet Key Exchange (IKE)	236
5.9.4	Security and GPRS	236
5.10	Internet Protocol Version 6 (IPv6)	237
5.10.1	The IPv6 Header	238
5.10.2	Traffic Classes	239
5.10.3	Flow Labels	240
5.10.4	The Payload Length Field	240
5.10.5	The Next Header Field	240
5.10.6	The Hop Limit	240
5.10.7	The Source Address	241

5.10.8	The Destination Address	241
5.10.9	IPv6 Address Representation	242
5.10.10	The Transition from IPv4 to IPv6	243
5.10.11	Dual IP Layer	243
5.10.12	Tunnelling	244
5.11	Serial Line IP (SLIP) and Point-to-Point Protocol (PPP)	245
5.11.1	LCP Link Establishment	246
5.11.2	PPP Authentication	248
5.11.3	Network Control Protocol (NCP) for IP	249
5.11.4	IP Packet Encapsulation	250
5.11.5	PPP in 3G	250
5.12	Radius Accounting, Authorization and Authentication (AAA)	251
5.12.1	RADIUS Functions	252
5.12.2	RADIUS Authentication and Configuration	252
5.12.3	RADIUS Accounting	253
5.13	Diameter AAA	253
5.13.1	Attribute Value Pairs (AVPs)	254
5.14	Mobile IP	255
5.14.1	Mobile IP Routing	255
5.14.2	Mobile IP Security	257
5.14.3	Route Reverse Tunnelling	257
5.14.4	Route Optimization	257
5.14.5	Mobile IP for IPv6	258
5.14.6	Foreign Agent Handover and Mobile IP	259
5.14.7	Mobile IP for CDMA2000	260
5.14.8	Mobile IP for UMTS	260
5.15	Summary	261
6	Universal Mobile Telecommunications System	265
6.1	UMTS Network Architecture	265
6.1.1	WCDMA Base Station (WBTS)	266
6.1.2	Radio Network Controller (RNC)	267
6.1.3	3G Mobile Switching Centre (3G MSC)	267
6.2	Network Evolution	268
6.3	UMTS FDD and TDD	269
6.4	UMTS Bearer Model	270
6.5	UMTS QoS Classes	273
6.6	UTRAN Channels	276
6.6.1	Logical Channels	277
6.6.2	Downlink Transport and Physical Channels	278
6.6.3	Uplink Transport and Physical Channels	279
6.7	Radio Resource Management (RRM)	279
6.7.1	Admission Control	279
6.7.2	Packet Scheduler	281
6.7.3	Load Control	282

6.7.4	Handover Control	282
6.7.5	Power Control	286
6.8	WCDMA Physical Layer	288
6.8.1	Physical Layer Procedures	289
6.8.2	Data Protection	289
6.8.3	Radio Frame Segmentation and Rate Matching	291
6.8.4	Spreading	291
6.8.5	Modulation and Transmission	295
6.8.6	Common Channels	296
6.8.7	Dedicated Physical Channels	297
6.9	Initial Connection to Network	300
6.9.1	Synchronization Procedures	300
6.9.2	Slot Synchronization	301
6.9.3	Frame Synchronization	302
6.9.4	Scrambling Code Identification	303
6.9.5	Random Access Procedure	304
6.10	Compressed Mode	305
6.11	Downlink Transmit Diversity Techniques	307
6.11.1	Space Time Transmit Diversity (STTD)	307
6.11.2	Time Switched Transmit Diversity (TSTD)	307
6.11.3	Site Selection Diversity Transmit (SSDT)	308
6.11.4	Closed Loop Mode Transmit Diversity	308
6.12	Radio Interface Protocol Architecture	309
6.12.1	Broadcast/Multicast Control (BMC)	311
6.12.2	Packet Data Convergence Protocol (PDCP)	312
6.12.3	Radio Link Control (RLC)	312
6.12.4	Media Access Control (MAC)	316
6.12.5	MAC and Physical Layer Interaction	319
6.13	Adaptive Multirate (AMR) CODEC	323
6.14	Calculated Transport Format Combinations	326
6.15	Use of DSCH	328
6.16	Radio Resource Control (RRC)	328
6.16.1	RRC Mobile States	330
6.16.2	UTRAN UE Identifiers	333
6.16.3	RRC Connection	333
6.16.4	Signalling Radio Bearers	335
6.16.5	RRC Security Mode Control	336
6.16.6	RRC Paging	336
6.16.7	Radio Bearer Establishment	337
6.16.8	Transfer of NAS Messages	339
6.16.9	Cell/URA Update	339
6.16.10	Measurement Reporting	340
6.16.11	Active Set Update	342
6.17	Broadcast System Information	343
6.17.1	Master Information Block (MIB)	345

6.17.2	System Information Block 1	345
6.17.3	System Information Block 2	346
6.17.4	System Information Block 3	347
6.17.5	System Information Block 5	347
6.17.6	System Information Block 7	348
6.17.7	System Information Block 11	348
6.18	Frame Protocols	348
6.18.1	Dedicated User Data on the Iub/Iur Interface	348
6.18.2	User Data on Iub Common Channels	357
6.18.3	User Data on Iur Common Channels	359
6.18.4	User Data on the Iu Interface	363
6.18.5	Control Procedures	367
6.19	UMTS Terrestrial Radio Access Network (UTRAN)	371
6.19.1	Iub Interface	373
6.19.2	Node B Application Part (NBAP)	374
6.19.3	Iur Interface	376
6.19.4	Radio Network Subsystem Application Part (RNSAP)	377
6.19.5	Iu Interface	379
6.19.6	Radio Access Network Application Part (RANAP)	381
6.19.7	Broadband SS7	389
6.20	Mobility Management for Packet Switched Operation	392
6.20.1	PMM-Detached	392
6.20.2	PMM-Idle	392
6.20.3	PMM-Connected	392
6.21	UMTS Security Architecture	393
6.21.1	User Identity Confidentiality	395
6.21.2	Authentication	395
6.21.3	Security Mode Establishment	397
6.21.4	Confidentiality	399
6.22	UMTS Call Life Cycle	401
6.22.1	Signalling Connection Establishment	401
6.22.2	Location Updating	405
6.22.3	Paging	406
6.22.4	Connection Establishment: Circuit Core	407
6.22.5	Handover Control	410
6.22.6	Circuit Call Termination	412
6.22.7	Packet Core Connection	413
6.23	CDMA2000	414
6.23.1	History of Cellular in the USA	415
6.23.2	The TDMA System	417
6.23.3	The CDMA System	417
6.23.4	Evolution Path	417
6.23.5	CDMA2000 1xRTT	418
6.23.6	CDMA2000 1xEV	418
6.23.7	CDMA2000 3xMC	418

6.23.8	CDMA2000 Network Architecture	418
6.23.9	Simple IP and Mobile IP	420
6.23.10	Mobility Management	421
6.24	Time Division-Synchronous CDMA (TD-SCDMA)	421
6.25	Summary	422
7	UMTS Transmission Networks	425
7.1	Introduction to RAN Transmission	425
7.2	Introduction to ATM	426
7.3	History and Standards	428
7.3.1	Virtual Circuits and Virtual Paths	429
7.4	The ATM Reference Model	430
7.5	The Physical Layer	432
7.5.1	PMD Sublayer	433
7.5.2	Transmission Convergence (TC) Sublayer	436
7.5.3	Inverse Multiplexing for ATM (IMA)	439
7.6	The ATM Layer	440
7.7	The ATM Adaptation Layer (AAL)	442
7.7.1	AAL1	443
7.7.2	Circuit Emulation Service (CES)	446
7.7.3	AAL2	450
7.7.4	Service-specific convergence sublayer (SSCS)	457
7.7.5	AAL3/4	460
7.7.6	AAL5	461
7.7.7	Summary	462
7.8	Traffic Classes	463
7.9	Traffic Management and Quality of Service	466
7.9.1	Traffic Descriptor	468
7.10	Traffic Shaping	471
7.10.1	Generic Cell Rate Algorithm (GCRA)	471
7.10.2	Usage Parameter Control	473
7.11	ABR and Traffic Congestion	474
7.12	Network Management	475
7.12.1	Integrated Local Management Interface (ILMI)	476
7.12.2	Layer Management	476
7.13	ATM Signalling	478
7.13.1	ATM Signalling Protocol Stack	478
7.13.2	Service-Specific Connection-Oriented Protocol (SSCOP)	479
7.13.3	Service-specific Coordination Function (SSCF)	482
7.13.4	ATM Addressing Format	483
7.13.5	UMTS Signalling Transport	485
7.13.6	UNI3.x Signalling	486
7.13.7	Connection Establishment	487
7.13.8	Signalling Message Structure	489
7.13.9	UNI4.0	491

7.14	Private Network-to-Network Interface (PNNI)	492
7.14.1	Peer Group	493
7.14.2	AAL2 Signalling	494
7.15	IP/ATM Internetworking	498
7.15.1	Packet Core	499
7.15.2	Data Encapsulation	499
7.15.3	Classical IP over ATM (CLIP)	501
7.15.4	Next Hop Resolution Protocol (NHRP)	503
7.15.5	IP Multicast over ATM	504
7.16	Summary	505
8	IP Telephony for UMTS Release 4	509
8.1	Introduction	509
8.2	R4 Softswitch Architecture	510
8.2.1	MSC Server	510
8.2.2	Media Gateway (MGW)	511
8.2.3	Gateway MSC Server (GMSC Server)	511
8.2.4	CS Domain External Interfaces	512
8.2.5	CAMEL	513
8.3	Voice over IP (VoIP)	513
8.3.1	VoIP Call Control	513
8.4	Real-Time Transport Protocol (RTP)	514
8.4.1	RTP at the Nb Interface	514
8.4.2	Source Identifiers	517
8.4.3	Encryption with RTP	518
8.4.4	Redundancy with RTP	518
8.4.5	Real-Time Control Protocol (RTCP)	518
8.4.6	RTCP Receiver Report	519
8.4.7	RTCP Sender Report	520
8.4.8	SDES Source Description	521
8.4.9	BYE Goodbye	521
8.4.10	APP Application Defined	521
8.4.11	RTP Limitations	522
8.5	Session Description Protocol (SDP)	522
8.6	Media Gateway Control	523
8.6.1	Evolution of Media Control Protocols	523
8.7	MEGACO	524
8.7.1	Terminations and Contexts	524
8.7.2	Events and Signals	526
8.7.3	MEGACO Commands and Descriptors	528
8.7.4	Context and Termination Handling (Bearer Establishment)	529
8.7.5	Deleting Contexts and Bearers	535
8.7.6	Summary	536
8.8	Bearer-Independent Call Control (BICC)	536
8.8.1	Forward and Backward Bearer Establishment	538

8.8.2	BICC Messages and Parameters	538
8.8.3	Bearer Control Function	540
8.8.4	Bearer Control Protocols	542
8.8.5	BICC IP Bearer Control Protocol (IPBCP, Q.1970)	542
8.8.6	BICC Call Flow Examples for Release 4	544
8.8.7	Tandem-Free and Transcoder-Free Operation	545
8.8.8	BICC Summary	547
8.9	Sigtran Protocol	548
8.9.1	MTP3 User Adaptation Layer (M3UA)	548
8.9.2	Streaming Control Transport Protocol (SCTP)	551
8.10	Summary	552
9	Release 5 and Beyond (All-IP)	555
9.1	Introduction	555
9.2	IP Multimedia Subsystem (IMS)	555
9.2.1	Call Session Control Function (CSCF)	558
9.2.2	Application Server (AS)	559
9.2.3	Breakout Gateway Control Function (BGCF)	560
9.2.4	Multimedia Resource Function (MRF)	560
9.2.5	Media Gateway Control Function and Media Gateway (MGCF and MGW)	560
9.3	Home Subscriber Server (HSS)	560
9.3.1	HSS Cx Interface	561
9.4	IP Network Domain Security	563
9.5	Session Initiation Protocol (SIP)	564
9.5.1	SIP Addressing	565
9.5.2	SIP Components	566
9.5.3	SIP Messages	568
9.5.4	SIP Responses	569
9.5.5	SIP Transaction Handling	570
9.5.6	SIP Message Transport	570
9.5.7	SIP Server Discovery	571
9.5.8	SIP Headers	571
9.5.9	SIP Call Establishment	574
9.5.10	CANCEL	575
9.5.11	Call Establishment via Proxy	576
9.5.12	Stateless and Stateful Proxies	576
9.5.13	SIP Offer/Answer Model	577
9.5.14	SIP Registration	579
9.5.15	SIP Call Routing (Direct, Proxy and Redirect)	581
9.5.16	Provision of QoS with SIP	584
9.5.17	SIP Security	588
9.5.18	SIP–PSTN Interworking	591
9.5.19	SIP Bridging	593
9.5.20	Conferencing with SIP	595

9.5.21	SIP Event Notification	595
9.5.22	SIP and Instant Messaging Services	596
9.6	E.164 Numbers (ENUM)	597
9.6.1	NAPTR	598
9.6.2	ENUM examples	598
9.7	UMTS IMS Call Signalling	599
9.7.1	IMS Security	599
9.7.2	P-CSCF Assignment	600
9.7.3	IMS Registration	601
9.7.4	IMS Mobile Originated Call	603
9.7.5	IMS Mobile Terminated Call	605
9.7.6	QoS Reservation for IMS Calls	606
9.7.7	IMS Accounting	608
9.7.8	Common Open Policy Service (COPS)	608
9.8	IP in the Radio Access Network (RAN)	609
9.8.1	Support for IPv6	609
9.8.2	IP in the Iu Interface	610
9.8.3	IP in the Iur Interface	612
9.8.4	IP in the Iub Interface	613
9.8.5	IP Header Compression in the RAN	613
9.8.6	RAN IP Datalink Layer	613
9.8.7	IP QoS in RAN	614
9.8.8	Composite IP (CIP)	614
9.8.9	Lightweight IP Encapsulation Protocol (LIPE)	615
9.8.10	Multiplexed PPP	617
9.8.11	AAL2 over UDP	618
9.8.12	IP ATM Interoperating	618
9.9	Multiprotocol Label Switching (MPLS) in UMTS	620
9.9.1	MPLS terminology	621
9.9.2	MPLS Forwarding	621
9.9.3	Label Switched Paths (LSP)	623
9.9.4	Label Distribution	623
9.10	Summary	623
Glossary of Terms		643
Index		627